

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop PATENT APPLICATION  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

VIA HAND DELIVERY  
**PATENT**  
Case Docket No. 70404.17  
Date: January 26, 2004

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Tsuyoshi TOKUDA, Toshihide TSUBATA, and Toshifumi YAGI

For: COLOR FILTER SUBSTRATE AND DISPLAY DEVICE

☐ Applicant(s) claim(s) benefit under 35 U.S.C. § 119(e) of United States provisional application No. \_\_\_\_\_ filed \_\_\_\_\_

**Enclosed are:**

119 Pages of Specification

15 Sheet(s) of drawings ☒ formal ☐ informal

☒ Declaration and Power of Attorney ☐ Will follow.

☒ Form PTO-1595 and an Assignment of the invention SHARP KABUSHIKI KAISHA, 22-22, Nagaïke-cho, Abeno-ku, Osaka-shi, Osaka 545-8522 JAPAN ☐ Will follow

☒ A certified copy of Japanese Patent Appln. Nos. 2003-023657 filed on January 31, 2003 and 2003-434624 filed on December 26, 2003 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119.  
☐ Will follow

☐ Request for Non-publication of Application Under 35 USC 122(b)(2)(B)(i)

☒ Information Disclosure Statement, Form PTO 1449, and 5 cited reference(s).

☐ Change of Correspondence Address

☐ Information Sheet

☒ General authorization/request to Petition for Extensions of Time

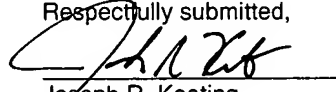
☒ Return Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$375.00	OR		\$770.00
TOTAL CLAIMS	36	-16-	X 9	\$	OR	X 18	\$-288-
INDEP CLAIMS	2	-0-	X 40	\$	OR	X 86	\$0.00
<input type="checkbox"/> MULTIPLE			X+ 130	\$	OR	+ 260	\$
DEP CLAIMS			TOTAL =			TOTAL:	\$1,058.00
PRESENTED							

- ☐ Please charge my Deposit Account No. 50-1353 the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ Check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ Please charge my Credit Card the amount of \$1,058.00. Please see the enclosed Credit Card Payment Form (PTO-2038)
- ☒ Credit Card Payment Form for \$40.00 covering the Assignment Recordation Fee is enclosed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
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- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

  
Joseph R. Keating  
Registration No. 37,368

KEATING & BENNETT LLP  
10400 Eaton Place, Suite 312  
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012604

15915 U.S. PTO

13441 U.S. PTO  
10763184

012604

**PATENT**

Docket No:70404.17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of: Tsuyoshi TOKUDA, Toshihide TSUBATA, and Toshifumi YAGI**  
**For: COLOR FILTER SUBSTRATE AND DISPLAY DEVICE**

**CERTIFICATE OF HAND DELIVERY**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop PATENT APPLICATION  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202


Sir:

Date of Deposit: January 26, 2004

I hereby certify that an application for patent, including:

One-page cover sheet; 119 pages of Specification (which includes 36 claims and a one-page Abstract); 15 Sheets of Formal Drawings; an executed Combined Declaration and Power of Attorney; a General Authorization/Request to Petition for Extensions of Time; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$1,058.00 to cover the filing fee; an executed Assignment document and Form PTO 1595, along with a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$40.00 to cover the assignment recordation fee; a Transmittal Letter (Form PTO 1082); two (2) Certified Priority Documents; an Information Disclosure Statement with five (5) references; and Return Postcard are being deposited with the U.S. Patent Office at the Customer Service Window of the U.S. Patent Office on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, Arlington, VA 22202.

Date of Deposit: January 26, 2004

  
\_\_\_\_\_  
Joseph R. Keating

VIA HAND DELIVERY  
PATENT  
70404.17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Tsuyoshi TOKUDA et al.

Serial No.: Currently unknown

Filing Date: Concurrently herewith

For: COLOR FILTER SUBSTRATE AND  
DISPLAY DEVICE

**GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop PATENT APPLICATION  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant(s) hereby authorize(s) and request(s) the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

General Authorization/Request  
To Petition for Extension of Time  
January 26, 2004  
Page 2 of 2

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 50-1353.

Respectfully submitted,

Date: January 26, 2004

  
Attorneys for Applicant(s)

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